

POLICY NUMBER:	56.1	
SUBJECT:	Sexual Harassment in the Workplace	
CONTENT:	Notice of Personal liability; Statement of Policy; Purpose; Applicability; Employee Relations Designee; Training; Prohibited Conduct; Reporting Procedure; Investigations of Complaints; Complaint Resolution; Retaliation Strictly Prohibited; Responsibility; Violations; Exceptions; Questions; References	
STATUS:	□ Proposed	⊠ Published
EFFECTIVE DATE:	7/1/2013	
REVISION DATES:	12/16/2021; 8/28/2023	
INQUIRIES TO:	Central Louisiana Human Services District 5411 Coliseum Blvd Alexandria, LA 71303 Phone: 318- 487-5191 Fax: 318-487-5184	
Approved by Rebecca I. Craig, CLHSD Executive Director		Date
(electronic approval received	l via email)	8/28/2023

CLHSD

Central Louisiana Human Services District

I. NOTICE OF PERSONAL LIABILITY

Louisiana law requires government agencies to develop and implement policies and related training to prevent sexual harassment in the workplace. The prohibitions and requirements within these policies apply to all public servants – employees, appointees, and elected officials.

Louisiana's taxpayers have been financially burdened by judgments and settlements arising from claims of workplace sexual harassment. To reduce this impact, La. R. S. 42:351 et seq., enacted in the 2019 Regular Session (Act No. 413), declares that consideration be given to requiring that a public servant, determined to have engaged in sexually inappropriate behavior, personally reimburse all or a portion of any judgment or settlement resulting from such behavior. La. R.S. 42:353 sets forth the process and factors to be considered in making this determination and authorizes the Attorney General to file suit against a public servant to enforce the state's right to reimbursement and indemnification.

This notice of potential personal liability contained in this policy is disseminated to every newly hired Central Louisiana Human Services District (CLHSD) employee during orientation. This notice is also disseminated, on an annual basis, to all current CLHSD employees through review of this policy. Reference to this potential personal liability also is included in the mandatory annual CPTP training on sexual harassment available through LEO. (See Section VII of this policy – Training).

Note: If there is a discrepancy between an LDH Policy and the CLHSD policy, the LDH policy shall govern/overrule/supersede the conflicting section within this CLHSD policy.

II. STATEMENT OF POLICY

CLHSD prohibits and will not tolerate sexual harassment or any behavior of a sexual nature that intimidates, exploits, insults, demeans, disrespects, or embarrasses any CLHSD employee. CLHSD employees have the right to be treated with respect and dignity and to work in a professional environment free of sexual harassment.

Prevention and elimination of sexually inappropriate behavior requires the personal involvement and commitment of every CLHSD employee. Through this policy and related training requirements, CLHSD encourages employees who experience, observe or are informed of such behavior to promptly initiate the reporting process set forth in this policy. Employees may be assured that CLHSD will objectively and thoroughly investigate reports; implement preventive measures to protect against recurrence; impose corrective action(s) to address substantiated violations; and protect complainants and employees involved in the investigative process from any form of harassment, reprisal, or retaliation.

III. PURPOSE

Through this policy and the mandatory training required of all employees, CLHSD seeks to:

- Unequivocally state intolerance for sexually inappropriate behavior
- Identify the broad scope of such prohibited behavior
- Establish an effective, uniform reporting process
- Establish an effective, uniform investigative process
- Trigger prompt action to protect against recurrence of the prohibited behavior
- Ensure resolution that imposes appropriate corrective action
- Protect complainants and employees involved in the investigative process from harassment, reprisal, or retaliation



• Respect confidentiality and the privacy rights of employees

This policy establishes a procedure to administratively report and address complaints of sexually inappropriate behavior. It is not in any way intended to replace or supersede the statutory or regulatory rights regarding sexual harassment available to employees under federal and state law, including Title VII of the Civil Rights Act (42 U.S.C. § 2000e et seq.) and the Louisiana Employment Discrimination Law (La. R.S. 23:301 et seq.). Specific timelines and requisites of law apply to filing a complaint with the Equal Employment Opportunity Commission (EEOC) or the Louisiana Commission on Human Rights (LCHR).

IV. APPLICABILITY

- A. This policy applies to all CLHSD employees regardless of position, status, or authority. This includes classified and unclassified employees, full-time, part- time, seasonal, temporary employees, and contracted employees working at CLHSD facilities. The prohibitions of this policy are equally applicable to appointing authorities (both statutory and delegated), executive management, administrators, directors, managers, supervisors, staff, students, and interns.
- B. This policy applies not only to the customary workplace and work locations where CLHSD employees may be assigned, but also prohibits such behavior while traveling to a work location, at conferences, workshops, trainings, business trips, and business-related social events. Additionally, the behavior prohibited by this policy applies to off-duty, off-premises behavior that impacts the workplace.
- C. Third-party sexual harassment Sexual harassment complaints against non-CLHSD employees will be referred to the appropriate authorities and/or handled as CLHSD management deems appropriate.

V. POSTINGS

This policy shall be available for review by all CLHSD employees on the CLHSD shares drive. Notices related to workplace harassment and discrimination shall be conspicuously posted at all CLHSD locations.

VI. EMPLOYEE RELATIONS DESIGNEE

Sexual harassment complaints will be handled within the Office of Human Resources by the Human Resources Director or designee. The Human Resources Director or designee is available to discuss the content of this policy, answer questions related to the reporting process, receive complaints, and coordinate the investigative process.

VII. TRAINING

To support this policy, CLHSD requires all employees to successfully complete training on this policy upon hiring and on a continuing basis thereafter. At a minimum, CLHSD mandates the following training for its employees:

- A. Upon hiring, all new employees will be provided a copy of this policy and instructed to carefully review it.
- B. All current employees are required to review this policy annually.
- C. Within ninety (90) days of the hiring date, all new employees are required to complete the Comprehensive Public Training Program's (CPTP) most recent training on sexual harassment. Certification of successful completion will be documented through CPTP.
- D. All employees, on an annual basis thereafter, are required to complete the CPTP's most recent training on sexual harassment. Certification of successful completion will be documented through CPTP.
- E. Supervisors and any persons designated by the agency to accept or investigate a sexual harassment

CLHSD

Central Louisiana Human Services District

complaint are required to complete CPTP's additional education and training on sexual harassment for supervisors on an annual basis. Certification of successful completion will be documented through CPTP.

VIII. EXAMPLES OF PROHIBITED CONDUCT

- A. Sexual harassment is a violation of the law (Section 703 of the Civil Rights Act of 1964). Sexual harassment is defined as unwelcome sexual advances, requests for sexual favors, and other verbal and physical conduct of a sexual nature when:
 - 1. Submission to that conduct is made either explicitly or implicitly a term or condition of an individual's employment;
 - 2. Submission to or rejection of that conduct by an individual is used as the basis of employment decisions affecting the individual; or
 - 3. The conduct has the purpose or effect of unreasonably interfering with an individual's work performance or creating an intimidating, hostile or offensive working environment.
 - 4. Sexual harassment may be male to female, female to male, male to male, or female to female.
- B. Prohibited conduct relative to sexual harassment includes but is not limited to the following:
 - 1. Unwelcome physical or verbal behavior, which might include but is not limited to: offensive jokes, belittling comments, slurs, epithets, name calling, physical threats or assaults, ridicule or mockery, insults, sexually suggestive/offensive objects, writings, or pictures, or other interference with work performance that creates an intimidating or hostile work environment;
 - 2. Requests for/Requiring sexual favors (as a condition of employment, obtaining a raise, obtaining new duties, a better office or any type of advancement in the workplace, requiring a subordinate employee to go out to lunch or to have a drink may be perceived as a request for sexual favors under some circumstances, especially if the conduct has no business purpose);
 - 3. Physical sexual harassment might also include unwelcome physical contact, including touching on any part of the body, kissing, hugging or standing close enough to make another person uncomfortable;
 - 4. Threatening dismissal or unfairly evaluating performance in retaliation for rejection of sexual advances;
 - 5. Sexual flirtations, advances or propositions;
 - 6. Graphic comments about an individual's body;
 - 7. Sexually degrading words to describe an individual;
 - 8. The display in the workplace of sexually suggestive objects, pictures, or writings; or,
 - 9. Other harassment that could rise to the level of sexual harassment.

IX. REPORTING PROCEDURE

- A. Any employee experiencing unwelcome behavior may choose to tell the offender to cease the behavior. Doing so may be sufficient to prevent recurrence. CLHSD does not require employees to do so, and does not require that this be done before using the reporting procedure provided in this policy. However, if the behavior continues, the concern should be reported promptly.
- B. In order that complaints may be investigated timely and effectively, employees are strongly encouraged to report sexual harassment as soon as possible. CLHSD does not impose a deadline for reporting sexual harassment, but immediate reporting is ideal.
- C. The initial report need only convey the occurrence of words or actions that are offensive and need not provide details. This report can be verbal (in person or via telephone) or in writing (letter, memo, email, text). CLHSD does not require that the employee use a specific form or adhere to a rigid reporting protocol.
- D. The report may be made to the employee's direct supervisor. However, regardless of reason, if the employee prefers to not involve his or her supervisor, the report may be made to any supervisor or manager at



CLHSD, or directly to the Human Resources Director or designee. Supervisory personnel receiving a report of sexually inappropriate behavior are required to immediately inform the Human Resources Director or designee of the information provided. Non-supervisory personnel receiving a report of sexually inappropriate behavior (such as from a co-worker) are strongly encouraged to report the behavior to any supervisor or to the Human Resources Director or designee.

E. Anonymous complaints are discouraged; however, if an anonymous complaint is submitted, it should contain as much detail as possible including the names of the accused and all witnesses, the locations, dates, times, and description of all behaviors experienced, and any previous reports of similar behavior to management. Without this level of detail, the ability to conduct a thorough investigation may be impeded.

X. INVESTIGATION OF COMPLAINTS

A. <u>Human Resources Director or designee:</u> All reports and complaints of sexually inappropriate behavior will be directed to the Human Resources Director or designee who shall assess the information provided. The investigation will be conducted expeditiously, professionally, and with due regard for the rights of all involved. To the extent allowed by law, the investigation will be conducted in a confidential manner. To preserve the integrity of the investigative process, employees will be instructed that the complaint and all information provided during the interview are to remain confidential. Employees are prohibited from obstructing or interfering with the investigation, which includes questioning or confronting any employee participating in the investigation.

Upon receipt of a written of verbal complaint, the Human Resource Director of designee shall immediately notify CLHSD Legal that alleged sexual harassment has been reported.

- B. <u>Preliminary Assessment:</u> The Human Resources Director or designee, in consultation with the legal services, shall conduct a preliminary assessment of the information provided to determine whether action should be taken to prevent further occurrence of the offensive behavior. For example, it may be appropriate to authorize leave or temporarily reassign personnel. The appropriate appointing authority will be apprised of the general nature of the complaint and any preliminary action to be taken with the utmost confidentiality.
- C. <u>Interviews</u>: The investigation may begin with an interview of the complainant who will be required to provide details to facilitate the investigative process, such as:
 - 1. Statement of Claim (the behavior complained of),
 - 2. the specific date, time, and location of the occurrence(s),
 - 3. the identity (names and titles) of all involved parties, including witnesses to the alleged incident(s), as well as any other employees possessing relevant information may also be interviewed,
 - 4. any writings, records, logs, recordings, pictures, or other documentation supporting the complaint,
 - 5. Complainant's signature,
 - 6. Complainant's address and telephone number.

*If Sexual Assault or Sexual Harassment that results in injury to the person occurs, please follow CLHSD Policy 142.0 and complete the appropriate forms.

D. <u>Participant Responsibilities</u>: All employees called upon to participate in the investigation are required to fully cooperate and provide truthful responses. Employees do not have the option of remaining silent or

CLHSD

Central Louisiana Human Services District

declining to get involved. Those questioned may be required to prepare a written statement or provide a recorded statement. Employees are hereby informed that polygraph examinations may be employed as an investigative tool.

- E. <u>Report and Recommendations</u>: Upon completion of the investigation (within 45 days of receipt from the complainant), the Human Resources Director or designee, in consultation with CLHSD Legal, will report whether the complaint of sexual harassment is substantiated or unsubstantiated to the appropriate appointing authority and provide recommendations for resolution.
 - 1. The Human Resource Director or designee shall notify, the complainant and alleged perpetrator, in writing of the outcome of the investigation.
- F. <u>Management Decision</u>: Complainants may be assured that any employee found, after investigation, to have engaged in sexual harassment or other inappropriate behavior of a sexual nature will be subject to corrective action. Corrective actions may include counseling, reprimand, suspension, reduction in pay, demotion, or dismissal. If dismissal is recommended, the matter must be referred to the CLHSD Executive Director before final action is taken.
- G. In conjunction with such corrective actions, other appropriate measures, including additional training, relocation, reassignment, job restructuring, etc., may also be utilized to protect against the recurrence of inappropriate behavior.
- H. <u>Unsubstantiated Good Faith Complaints</u> Employees must understand that despite the best efforts and thoroughness of the investigative process, not all complaints can be substantiated. This does not indicate, however, that the complaint was contrived or made in bad faith. As such, employees are encouraged to file good faith complaints without regard for the ultimate outcome.

XI. COMPLAINT RESOLUTION

Upon conclusion of the investigation, the complainant and accused will be apprised of whether the complaint was substantiated or unsubstantiated. Management's decision is final and concludes CLHSD's internal administrative investigative process. Regardless of the outcome, the complainant has the option of pursuing a claim (within 180 days of receipt of the report) under state or federal law. Initiation of such a claim is not dependent upon the outcome nor completion of CLHSD's administrative investigation.

To initiate a claim under federal or state law, employees are referred to the Equal Employment Opportunity Commission and the Louisiana Commission on Human Rights:

EEOC District Office
Hale Boggs Federal Building
500 Poydras Street, Suite 809
New Orleans, Louisiana 70130
800-669-4000 (Voice)
504-595-2958 (TTY)
844-234-5122 (ASL Video Phone)
504-595-2844 (Fax)
https://www.eeoc.gov/

LCHR
1001 N. 23rd Street, Suite 268
Post Office Box 94094
Baton Rouge, Louisiana 70804
225-342-6969 (Voice)
888-241-0859 (TDD)
225-342-2063 (Fax)
http://gov/page/lchr



XII. RETALIATION STRICTLY PROHIBITED

CLHSD maintains an affirmative duty to protect its employees from harassment, reprisal, or retaliation. This protection extends to any employee making a good faith complaint of sexually inappropriate behavior, as well as those employees providing information or participating in the investigative process. If a complaint is of retaliation is made and an investigation reveals that harassment, retaliation or reprisal has occurred, the offender shall receive corrective action, or disciplinary action shall be imposed up to and including dismissal.

XIII. RESPONSIBILITY

It is the responsibility of all employees to ensure compliance with this policy. Complaints must be truthful and made in good faith. Cooperative participation and candor in the investigative process are mandatory.

XIV. VIOLATIONS

CLHSD will aggressively address violations of this policy. After investigation and satisfaction of due process requirements, corrective action, including disciplinary action up to and including termination, may be imposed for the following actions, including but not limited to:

- A. Failure to comply with mandatory training requirements
- B. Failure by a supervisor or manager to timely transmit a reported complaint of sexually inappropriate behavior
- C. Failure to participate or cooperate in the investigative process
- D. Providing false or withholding information during questioning
- E. Filing a false, malicious, or frivolous complaint
- F. Harassment, reprisal, or retaliation towards a complainant or anyone involved in the investigative process

XV. EXCEPTIONS

Exceptions or deviations from the provisions of this policy require the express approval of the CLHSD Executive Director.

XVI. QUESTIONS

Questions, comments, or concerns regarding this policy should be addressed to CLHSD's Human Resource Director or designee.

XVII. REFERENCES

- A. CARF International 2021 Behavioral Health Standards Manual
- B. CLHSD Critical Incident Reporting Policy 142.0
- C. LDH Sexual Harassment Policy 56.4